

# OHIO PUBLIC WORKS COMMISSION

65 East State Street, Suite 312

Columbus, Ohio 43215

(614) 466-0880

## APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

CT 705

**IMPORTANT:** Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

**APPLICANT NAME  
STREET**

Village of Lockland  
101 N. Cooper Avenue

**CITY/ZIP**

Lockland, Ohio 45215

**PROJECT NAME  
PROJECT TYPE  
TOTAL COST**

Lock Street Improvements Phase 2  
Roadway and Drainage Improvements

\$ 108,169

**DISTRICT NUMBER  
COUNTY**

2

Hamilton

**PROJECT LOCATION ZIP CODE**

45215

02 DEC 19 19 P 2: 19

OFFICE OF THE  
COMMISSIONER

### DISTRICT FUNDING RECOMMENDATION To be completed by the District Committee ONLY

**RECOMMENDED AMOUNT OF FUNDING:**

\$ 97,352.00

#### FUNDING SOURCE (Check Only One):

State Issue 2 District Allocation

State Issue 2 Small Government Fund

Grant

State Issue 2 Emergency Funds

Loan

Local Transportation Improvement Fund

Loan Assistance

#### FOR OPWC USE ONLY

OPWC PROJECT NUMBER: \_\_\_\_\_

OPWC FUNDING AMOUNT: \$ \_\_\_\_\_

# 1.0 APPLICANT INFORMATION

1.1 CHIEF EXECUTIVE  
OFFICER  
TITLE  
STREET

James Brown  
\_\_\_\_\_  
Mayor  
\_\_\_\_\_  
101 N. Cooper Avenue  
\_\_\_\_\_

CITY/ZIP  
PHONE  
FAX

Lockland 45215  
\_\_\_\_\_  
( 513 ) 761 - 1124  
\_\_\_\_\_  
( 513 ) 761 - 4948  
\_\_\_\_\_

1.2 CHIEF FINANCIAL  
OFFICER  
TITLE  
STREET

William Payler  
\_\_\_\_\_  
Clerk  
\_\_\_\_\_  
101 N. Cooper Avenue  
\_\_\_\_\_

CITY/ZIP  
PHONE  
FAX

Lockland 45215  
\_\_\_\_\_  
( 513 ) 761 - 1124  
\_\_\_\_\_  
( 513 ) 761 - 4948  
\_\_\_\_\_

1.3 PROJECT MGR  
TITLE  
STREET

Craig Jarvis  
\_\_\_\_\_  
Engineer  
\_\_\_\_\_  
9946 Hunters Run Lane  
\_\_\_\_\_

CITY/ZIP  
PHONE  
FAX

Cincinnati 45242  
\_\_\_\_\_  
( 513 ) 793 - 7209  
\_\_\_\_\_  
( 513 ) 793 - 7263  
\_\_\_\_\_

1.4 PROJECT CONTACT  
TITLE  
STREET

Greg Newcomer  
\_\_\_\_\_  
Village Administrator  
\_\_\_\_\_  
101 N. Cooper Avenue  
\_\_\_\_\_

CITY/ZIP  
PHONE  
FAX

Lockland 45215  
\_\_\_\_\_  
( 513 ) 761 - 1124  
\_\_\_\_\_  
( 513 ) 761 - 4948  
\_\_\_\_\_

1.5 DISTRICT LIAISON  
TITLE  
STREET

Joseph D. Cottrill  
\_\_\_\_\_  
District 2 Liaison Officer  
\_\_\_\_\_  
Hamilton County Engineer Office  
\_\_\_\_\_  
138 E. Court Street  
\_\_\_\_\_

CITY/ZIP  
PHONE  
FAX

Cincinnati 45202  
\_\_\_\_\_  
( 513 ) 632 - 8540  
\_\_\_\_\_  
( 513 ) 723 - 9748  
\_\_\_\_\_

## 2.0 PROJECT INFORMATION

**IMPORTANT:** If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 **PROJECT NAME:** Lockm Street Improvements

2.2 **BRIEF PROJECT DESCRIPTION - (Sections A through D):**

**A. SPECIFIC LOCATION:**

Lock Street, between Wyoming Avenue and Williams Avenue. Roadway runs east-west and is used as main artery from I-75 to Wyoming Avenue.

**B. PROJECT COMPONENTS:**

Rehabilitation of Lock Street, asphalt pavement, concrete curb and storm inlets. Storm inlets to be reconstructed or newly constructed. New storm sewers are required. Roadway to have grinding, subbase repaired, filler fabric installed and resurfaced with asphalt.

**C. PHYSICAL DIMENSIONS/CHARACTERISTICS:**

Asphalt Grinding	5,688 SY	Storm Sewer	160 LF
Concrete Curbs	360 LF	Manhole Adjustment	28
Replaced		Fabric	5,688 SY
Catch Basins	7	Asphalt Leveling	5,688 SY
Rehabilitated		Asphalt Resurfacing	5,688 SY
New Catch Basins	4		

**D. DESIGN SERVICE CAPACITY:**

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

Roadway is a main artery from I-75 exit to Wyoming Avenue. Lock Street used by truck traffic. Bridge repaired in 1992, roadway and catch basins require rehabilitation. During normal traffic conditions, roadway handles 10,000 vehicles per day, majority are trucks for commercial traffic.

2.3 **REQUIRED SUPPORTING DOCUMENTATION**

(Photographs/Additional Description; Capital Improvements Report; Priority List; 5-year Plan; 2-year Maintenance of Effort report, etc.) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying instructions for further detail.

### 3.0 PROJECT FINANCIAL INFORMATION

#### 3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
	1. Preliminary Engineering	\$ <u>      N/A      </u>
	2. Final Design	\$ <u>      N/A      </u>
	3. Construction Supervision	\$ <u>      N/A      </u>
b)	Acquisition Expenses	
	1. Land	\$ <u>      N/A      </u>
	2. Right-of-Way	\$ <u>      N/A      </u>
c)	Construction Costs	\$ <u>108,169.00</u>
d)	Equipment Costs	\$ <u>      -0-      </u>
e)	Other Direct Expenses	\$ <u>                  </u>
f)	Contingencies	\$ <u>                  </u>
g)	<b>TOTAL ESTIMATED COSTS</b>	\$ <u>108,169.00</u>

#### 3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent)

	Dollars	%
a) Local In-Kind Contributions *	\$ <u>                  </u>	<u>          </u>
b) Local Public Revenues	\$ <u>10,817.00</u>	<u>10</u>
c) Local Private Revenues	\$ <u>                  </u>	<u>          </u>
d) Other Public Revenues		
1. ODOT	\$ <u>                  </u>	<u>          </u>
2. FMHA	\$ <u>                  </u>	<u>          </u>
3. OEPA	\$ <u>                  </u>	<u>          </u>
4. OWDA	\$ <u>                  </u>	<u>          </u>
5. CDBG	\$ <u>                  </u>	<u>          </u>
6. Other _____	\$ <u>                  </u>	<u>          </u>
e) OPWC Funds		
1. Grant Issue 2	\$ <u>97,352.00</u>	<u>90</u>
2. Loan	\$ <u>                  </u>	<u>          </u>
3. Loan Assistance	\$ <u>                  </u>	<u>          </u>
f) <b>TOTAL FINANCIAL RESOURCES</b>	\$ <u>108,169.00</u>	<u>100</u>

\* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes:

#### 3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.



# 5.0 APPLICANT CERTIFICATION

The Applicant Certifies That:

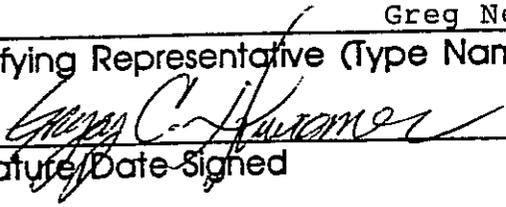
As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

**IMPORTANT:** Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

**IMPORTANT:** In the event of a project cost overrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Greg Newcomer (Village Administrator)

Certifying Representative (Type Name and Title)

  
Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

- |   |   |
|---|---|
| <input type="checkbox"/>                | A five-year <u>Capital Improvements Report</u> as required in 164-1-31 of the Ohio Administrative Code and a <u>two-year Maintenance of Local Effort Report</u> as required in 164-1-12 of the Ohio Administrative Code. Dec 31, 1992 |
| <input checked="" type="checkbox"/>     | A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .  |
| <input checked="" type="checkbox"/>     | A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .                                    |
| <input checked="" type="checkbox"/>     | A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts.   |
| <input type="checkbox"/> YES            | A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district).  |
| <input checked="" type="checkbox"/> N/A |   |
| <input checked="" type="checkbox"/> YES | Copies of all invoices and warrants for those items identified as 'pre-paid' in section 4.4 of this application.  |
| <input checked="" type="checkbox"/> N/A |   |

## 6.0 DISTRICT COMMITTEE CERTIFICATION

The District Integrating Committee for District Number 2 Certifies That:

As the official representative of the District Public Works Integrating Committee, the undersigned hereby certifies: that this application for financial assistance as provided under Chapter 164 of the Ohio Revised Code has been duly selected by the appropriate body of the District Public Works Integrating Committee; that the project's selection was based entirely on an objective, District-oriented set of project evaluation criteria and selection methodology that are fully reflective of and in conformance with Ohio Revised Code Sections 164.05, 164.06, and 164.14, and Chapter 164-1 of the Ohio Administrative Code; and that the amount of financial assistance hereby recommended has been prudently derived in consideration of all other financial resources available to the project. As evidence of the District's due consideration of required project evaluation criteria, the results of this project's ratings under such criteria are attached to this application.

William W. Brayshaw, Chairman, District 2 Integrating Committee  
Certifying Representative (Type Name and Title)

William W. Brayshaw 3-1-93  
Signature/Date Signed

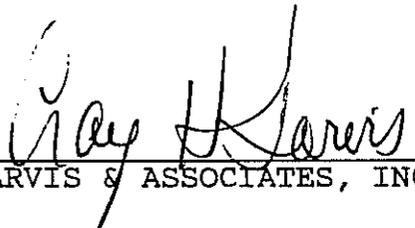
USEFUL LIFE OF PROJECT

The rehabilitation of Lock Street, including storm drainage will result in an improved condition for vehicle and recreational use and will prolong the useful life of the structure.

	LIFE	CONST. COST	TOTAL
Storm	40 yrs.	\$ 19,900.00	\$ .796
Curbs/Sidewalks	20 yrs.	\$ 5,400.00	\$ .108 M
Asphalt Pavement	7 yrs.	\$ 82,869.00	\$ .580 M
TOTALS		\$108,169.00	\$ 1.484

USEFUL LIFE IS 13.72 YEARS

I hereby certify the above useful life.

  
JARVIS & ASSOCIATES, INC.

  
DATE



ESTIMATED CONSTRUCTION COST

LOCK STREET

DESCRIPTION	QUANTITY	COST	TOTAL COST
GRIND PAVEMENT (5")	5688 SY	\$5.50	\$ 31,284.00
PAVEMENT FABRIC	5688 SY	\$2.50	\$ 14,220.00
ASPHALT OVERLAY	5688 SY	\$6.20	\$ 35,265.00
MANHOLE ADJUSTMENT	23	\$75.00	\$ 1,725.00
VALVE ADJUSTMENT	5	\$75.00	\$ 375.00
CONC. CURB REMOVAL /REPLACEMENT	360 LF	\$15.00	\$ 5,400.00
REPAIR CATCH BASINS	7	\$1700	\$ 11,900.00
STORM SEWERS	160 LF	\$50.00	\$ 8,000.00
	TOTAL		\$108,169.00

I HEREBY CERTIFY THIS ESTIMATED COST

*Craig H. Jarvis*  
JARVIS & ASSOCIATES, INC.

12/12/92  
DATE

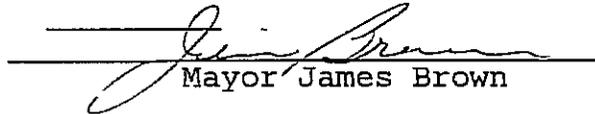


SECTION 3.3

AVAILABILITY OF LOCAL FUNDS

From Section 3.2 (b) - LOCAL PUBLIC REVENUES

I hereby certify that \$10,817.00 or 10% of the Issue 2 funding request will be supplied by the Village of Lockland from its local share of the automobile liscense tax.

  
\_\_\_\_\_  
Mayor James Brown

12-18-92  
Date



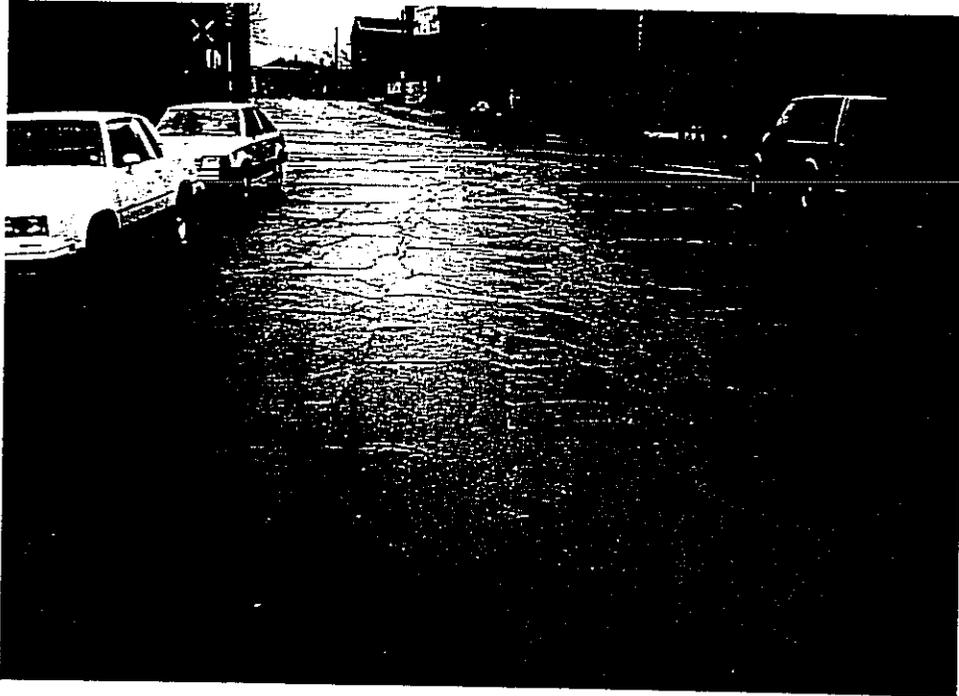
LOCK STREET  
ASPHALT CRACKING



LOCK STREET  
ASPHALT CRACKING



LOCK STREET  
ASPHALT CRACKING  
POOR DRAINAGE



LOCK STREET  
POOR DRAINAGE  
NEW CATCH BASINS REQUIRED



ADDITIONAL SUPPORT INFORMATION

For Fiscal Year 1994 (July 1, 1993 through June 30, 1994), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed \_\_\_\_\_ Poor X  
Fair \_\_\_\_\_ Good \_\_\_\_\_

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

Two lane asphalt surface, 36 feet wide. Surface is  
severely cracked, allowing drainage to seep to subbase.  
Street needs new catch basins, better drainage control.

- 2) If State Issue 2 funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1993) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

4 weeks/months (Circle one)

Are preliminary plans or engineering completed? Yes No  
Are detailed construction plans completed? Yes No  
Are all right-of-way and easements acquired? Yes No N/A  
Are all utility coordinations completed? Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 6 weeks/months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.) Please be specific and provide documentation if necessary to substantiate the data.

Drainage control will reduce frequency of ice conditions  
due to drainage conditions. Better roadway conditions will  
help traffic.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>  X  </u>
MRF	_____	ODNR	_____	CD	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1992 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

  10   %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban \_\_\_\_\_ Partial Ban \_\_\_\_\_ No Ban   X  

Will the ban be removed after the project is completed?

Yes \_\_\_\_\_ No \_\_\_\_\_

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

12,000

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For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164? (This must be included with the application to be considered for funding.)

Yes

No

To be filed by December 31, 1992

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

Streets, storm sewers, storm catch basins to be

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rehabilitated to enhance roadway travel and prolong

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usefulness of roadway.

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# STATE ISSUE 2 PROGRAM - ROUND 6

## LTIP PROGRAM - ROUND 5

FISCAL YEAR 1994 PROJECT SELECTION CRITERIA - JULY 1, 1993 TO JUNE 30, 1994

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE JULY 17, 1992

AMENDED BY THE DISTRICT 2 INTEGRATING COMMITTEE SEPTEMBER 18, 1992

JURISDICTION/AGENCY: LOCKLAND

NAME OF PROJECT: LOCK ST. IMPR. PHASE II

TOTAL POINTS FOR THIS PROJECT: 40

### NO. POINTS

- 10 1) If Issue 2/LTIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by end of 1993
  - 5 Points - Will be under contract by March 30, 1994
  - 0 Points - Will not be under contract by March 30, 1994
- 12 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
  - 16 Points -
  - 12 Points - Fair to Poor Condition
  - 8 Points -
  - 4 Points - Fair Condition

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.

2 3) If the project is built, what will be its effect on the facility's serviceability?

- 10 Points - Significant effect (e.g., widen to and add lanes along entire project)
- 8 Points - Moderate to significant effect
- 6 Points - Moderate effect (e.g., widen exist. lanes)
- 4 Points - Moderate to little effect
- 2 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

2 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

- 10 Points - Highly significant importance, with substantial impact on all 3 factors
- 8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

4 5) What is the overall economic health of the jurisdiction?

- 10 Points - Poor
- 8 Points -
- 6 Points - Fair
- 4 Points -
- 2 Points - Excellent

1 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

0 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

- 5 Points - Complete or significant ban
- 3 Points - Partial or moderate ban
- 0 Points - No ban of any kind

5 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

- 5 Points - 10,000 or more
- 4 Points - 7,500 to 9,999
- 3 Points - 5,000 to 7,499
- 2 Points - 2,500 to 4,999
- 1 Point - 2,499 and under

3 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic. functional classification. size of service area. number of jurisdictions served. etc.

- 5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)
- 4 Points -
- 3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)
- 2 Points -
- 1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

     10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

- 2 Points - Two of the above
- 1 Point - One of the above
- 0 Points - None of the above

**ADDENDUM TO THE RATING SYSTEM  
DEFINITIONS**

**CRITERION 2 - CONDITION**

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

**CRITERION 5 - ECONOMIC HEALTH**

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

**CRITERION 9 - REGIONAL IMPACT**

- |                   |  |
|-------------------|--|
| Major impact -    | Primary water or sewer main serving an entire system     |
| Moderate impact - | Waterline or storm sewer serving only part of a system   |
| Minimal impact -  | Individual waterline or storm sewer not part of a system |