

# APPLICATION AND CERTIFICATION FOR PAYMENT

TO OWNER:  
**Hamilton County**

PROJECT: **Cincinnati Union  
Terminal Restoration**

APPLICATION NO: **3**

PERIOD TO: **12/31/15**

PO # **PO564505**

CONTRACT DATE: **08/12/15**

FROM CONTRACTOR:  
**Cincinnati Museum Center**

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.  
Continuation Sheet, is attached.

The undersigned certifies that to the best of CMC's knowledge,  
information and belief the Work covered by this Application for  
Payment has been completed in accordance with the project scope  
and budget, and that the current payment shown herein is now due.

1. ORIGINAL CONTRACT SUM	\$	<u>212,700,000.00</u>
2. Net change by Change Orders	\$	<u>0.00</u>
3. CONTRACT SUM TO DATE (Line 1 ± 2)	\$	<u>212,700,000.00</u>
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703)	\$	<u>5,379,583.90</u>
5. % Completion		
a. <u>2.53%</u> % of Completed Work	\$	<u>\$5,379,583.90</u>
(Column D + E on G703)		
b. <u>2.43%</u> % Completed Per Draw		<u>4,271,478.58</u>
(Column F on G703)		
Total Draw Available (Lower of 5a + 5b)	\$	<u>4,271,478.58</u>
6. TOTAL EARNED LESS AMOUNT PAYABLE (Line 4 Less Line 5 Total)	\$	<u>1,108,105.32</u>
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate)	\$	<u>3,059,687</u>
<b>8. CURRENT PAYMENT DUE</b>	\$	<u>1,211,791.74</u>
9. BALANCE TO FINISH (Total Draw of \$175.7M-payments made/requested)	\$	<u>163,792,330.19</u>

CONTRACTOR: **Cincinnati Museum Center**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Elizabeth Pierce, President and CEO

OWNER: **Hamilton County**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
John Michel, Construction Administrator

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Jeff Aluotto, Assistant County Administrator

# CONTINUATION SHEET

APPLICATION AND CERTIFICATION FOR PAYMENT, containing

Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO: 3  
 APPLICATION DATE: 12/22/15  
 PERIOD TO: 12/31/15  
 ARCHITECT'S PROJECT NO:

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		F TOTAL COMPLETED TO DATE	G %	I BALANCE TO FINISH
			FROM PREVIOUS APPLICATION	THIS PERIOD			
			1	<i>Construction Costs</i>			
2	<i>Architect Fees</i>	\$17,250,000.00	\$2,122,840.93	\$973,565.07	3,096,406.00	17.95%	\$14,153,594.00
3	<i>Furniture, Fixtures &amp; Equipment</i>	\$4,500,000.00	\$0.00		0.00	0.00%	\$4,500,000.00
4	<i>Professional Fees</i>	\$2,450,000.00	\$156,078.71	\$13,458.25	169,536.96	6.92%	\$2,280,463.04
5	<i>Financing Costs</i>	\$5,600,000.00	\$508.31	\$158.21	666.52	0.01%	\$5,599,333.48
6	<i>Permits &amp; Insuarncce</i>	\$1,450,000.00	\$49,904.00	\$12,351.00	62,255.00	4.29%	\$1,387,745.00
7	<i>Temporary Facilities, Move Costs &amp; Related</i>	\$5,250,000.00	\$348,674.96	\$5,124.10	353,799.06	6.74%	\$4,896,200.94
8	<i>Preconstruction &amp; Construction Related Expenses</i>	\$7,500,000.00	\$834,604.63	\$200,315.73	1,034,920.36	13.80%	\$6,465,079.64
9	<i>UTC/UTLLC/CMC Renovation Expenses &amp; Related</i>	\$8,605,940.00	\$0.00	\$0.00	0.00	0.00%	\$8,605,940.00
10	<i>CFTF Pre-funded expenses</i>	\$662,000.00	\$662,000.00	\$0.00	662,000.00	100.00%	\$0.00
11	<i>Owners Contingency</i>	\$4,000,000.00	\$0.00	\$0.00	0.00	0.00%	\$4,000,000.00
<b>GRAND TOTALS</b>		<b>\$212,700,000.00</b>	<b>\$4,527,252.67</b>	<b>\$852,331.23</b>	<b>\$5,379,583.90</b>	2.53%	<b>\$207,320,416.10</b>

**UTRAC**  
**CINCINNATI UNION TERMINAL RESTORATION**  
**December 22, 2015**

**PROJECT:**

Cincinnati Union Terminal

**Project Funding Sources:**

	<u>Budget</u>	<u>Funding to Date*</u>	<u>Balance</u>
Hamilton County Sales Tax Levy Proceeds	\$175,700,000.00	\$ 11,907,669.81	\$163,792,330.19
Federal Historic Tax Credits	\$21,250,000.00	\$0.00	\$21,250,000.00
State Historic Tax Credits	\$3,250,000.00	\$0.00	\$3,250,000.00
Philanthropy	\$7,500,000.00	\$1,005,024.12	\$6,494,975.88
State of Ohio Capital Grant	\$5,000,000.00	\$0.00	\$5,000,000.00
<b>Total</b>	<b>\$212,700,000.00</b>	<b>\$12,912,693.93</b>	<b>\$199,787,306.07</b>

**Project Uses:**

	<u>Budget</u>	<u>Incurred to Date</u>	<u>Balance</u>
Construction Costs	\$155,432,060.00	\$0.00	\$155,432,060.00
Architect Fees	\$17,250,000.00	\$3,096,406.00	\$14,153,594.00
Furniture, Fixtures & Equipment	\$4,500,000.00	\$0.00	\$4,500,000.00
Professional Fees	\$2,450,000.00	\$169,536.96	\$2,280,463.04
Financing Costs	\$5,600,000.00	\$666.52	\$5,599,333.48
Permits & Insurance	\$1,450,000.00	\$62,255.00	\$1,387,745.00
Temporary Facilities, Move Costs & Related	\$5,250,000.00	\$353,799.06	\$4,896,200.94
Preconstruction & Construction Related Expenses	\$7,500,000.00	\$1,034,920.36	\$6,465,079.64
UTC/UTLLC/CMC Renovation Expenses & Related	\$8,605,940.00	\$0.00	\$8,605,940.00
CFTF Pre-funded expenses	\$662,000.00	\$662,000.00	\$0.00
Owners Contingency	\$4,000,000.00	\$0.00	\$4,000,000.00
<b>Total</b>	<b>\$212,700,000.00</b>	<b>\$5,379,583.90</b>	<b>\$207,320,416.10</b>

*\* Tax levy funding to Date includes the first three sales tax levy payments per the contracted draw schedule.*