

**APPLICATION AND CERTIFICATION FOR PAYMENT**

TO OWNER: **PROJECT: Cincinnati Union Terminal Restoration**  
Hamilton County

FROM CONTRACTOR: **Cincinnati Museum Center**

APPLICATION NO: **35**

PERIOD TO: **08/31/18**

PO # **PO569956**

CONTRACT DATE: **08/12/15**

**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, is attached.

The undersigned certifies that to the best of CMC's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the project scope and budget, and that the current payment shown herein is now due.

|   |    |                |
|---|----|----------------|
| 1. ORIGINAL CONTRACT SUM  | \$ | 212,700,000.00 |
| 2. Net change by Change Orders (revised 8/20/17)                                  | \$ | 15,351,596.00  |
| 3. CONTRACT SUM TO DATE (Line 1 ± 2)  | \$ | 228,051,596.00 |
| 4. TOTAL COMPLETED & STORED TO DATE (Column G on G703)                            | \$ | 196,031,432.34 |
| 5. % Completion   |    |                |
| a. 85.96% % of Completed Work (Column D + E on G703)                              | \$ | 196,031,432.34 |
| b. 85.71% % Completed Per Draw (Column F on G703)                                 | \$ | 124,422,381.80 |
| Total Draw Available (5a % > 5b % = Draw Amount; otherwise, variable)             |    |                |
|   | \$ | 124,422,381.80 |
| 6. TOTAL EARNED LESS AMOUNT PAYABLE (Line 4 Less Line 5 Total)                    | \$ | 71,609,050.54  |
| 7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate)         | \$ | 121,429,369.48 |
| 8. CURRENT PAYMENT DUE  | \$ | 2,993,012.33   |
| 9. BALANCE TO FINISH (Total Draw of \$1.75. JM-payments made/prevously requested) | \$ | 54,270,630.53  |

CONTRACTOR: **Cincinnati Museum Center**


By:  Date: **9/27/18**

Elizabeth Pierce, President and CEO

OWNER: **Hamilton County**

By:  Date: **9/28/18**

John Mitchell, Construction Administrator

By:  Date: **9/29/18**

Jeff Albotov, County Administrator

# CONTINUATION SHEET

APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.  
 In tabulations below, amounts are stated to the nearest dollar.  
 Use Column 1 on Contracts where variable retainage for line items may apply.

APPLICATION NO: 35  
 APPLICATION DATE: 09/01/18  
 PERIOD TO: 08/31/18  
 ARCHITECT'S PROJECT NO:

| A<br>ITEM NO.       | B<br>DESCRIPTION OF WORK                        | C1<br>SCHEDULED VALUE   | C2<br>Revision         | C3<br>UPDATED SCHEDULED VALUE | D<br>WORK COMPLETED       |                       | E<br>THIS PERIOD        |               | F<br>TOTAL COMPLETED TO DATE | G<br>% | I<br>BALANCE TO FINISH |
|---------------------|---|-------------------------|------------------------|-------------------------------|---------------------------|-----------------------|-------------------------|---------------|------------------------------|--------|------------------------|
|                     |   |                         |                        |                               | FROM PREVIOUS APPLICATION | THIS PERIOD           | THIS PERIOD             | THIS PERIOD   |                              |        |                        |
| 1                   | Construction Costs                              | \$155,432,060.00        | \$12,867,940.00        | \$168,300,000.00              | \$144,713,347.19          | \$6,000,266.69        | 150,713,613.88          | 89.55%        | \$17,586,386.12              |        |                        |
| 2                   | Architect Fees                                  | \$17,250,000.00         | \$3,650,000.00         | \$20,900,000.00               | \$19,445,468.37           | \$283,579.80          | 19,729,048.17           | 94.40%        | \$1,170,951.83               |        |                        |
| 3                   | Furniture, Fixtures & Equipment                 | \$4,500,000.00          | \$750,000.00           | \$5,250,000.00                | \$1,380,870.51            | \$979,221.95          | 2,360,092.46            | 44.95%        | \$2,889,907.54               |        |                        |
| 4                   | Professional Fees                               | \$2,450,000.00          | \$850,000.00           | \$3,300,000.00                | \$2,752,105.63            | \$70,356.95           | 2,822,462.58            | 85.53%        | \$477,537.42                 |        |                        |
| 5                   | Financing Costs                                 | \$5,600,000.00          | (\$1,276,170.00)       | \$4,323,830.00                | \$1,427,295.07            | \$185,105.08          | 1,612,400.15            | 37.29%        | \$2,711,429.85               |        |                        |
| 6                   | Permits & Insurance                             | \$1,450,000.00          | \$150,000.00           | \$1,600,000.00                | \$1,383,137.03            | \$112,678.00          | 1,395,815.03            | 87.24%        | \$204,184.97                 |        |                        |
| 7                   | Temporary Facilities, Move Costs & Related      | \$5,250,000.00          | \$1,750,000.00         | \$7,000,000.00                | \$4,954,978.73            | \$66,301.34           | 5,031,280.07            | 71.88%        | \$1,968,719.93               |        |                        |
| 8                   | Preconstruction & Construction Related Expenses | \$7,500,000.00          | (\$192,275.00)         | \$7,307,725.00                | \$5,743,423.18            | \$143,758.78          | 5,887,181.96            | 80.56%        | \$1,420,543.04               |        |                        |
| 9                   | UTC/UTLC/CMC Renovation Expenses & Related      | \$8,605,940.00          | (\$162,648.00)         | \$8,443,292.00                | \$5,296,531.21            | \$521,006.83          | 5,817,538.04            | 68.90%        | \$2,625,753.96               |        |                        |
| 10                  | GTF Pre-funded expenses                         | \$662,000.00            | \$0.00                 | \$662,000.00                  | \$662,000.00              | \$0.00                | 662,000.00              | 100.00%       | \$0.00                       |        |                        |
| 11                  | Owners Contingency                              | \$4,000,000.00          | (\$3,035,251.00)       | \$964,749.00                  | \$0.00                    | \$0.00                | 0.00                    | 0.00%         | \$964,749.00                 |        |                        |
| <b>GRAND TOTALS</b> |   | <b>\$212,700,000.00</b> | <b>\$15,351,596.00</b> | <b>\$228,051,596.00</b>       | <b>\$187,769,156.92</b>   | <b>\$8,262,275.42</b> | <b>\$196,031,432.34</b> | <b>85.96%</b> | <b>\$32,020,163.66</b>       |        |                        |



UTRAC  
CINCINNATI UNION TERMINAL RESTORATION  
8/31/18

**PROJECT:**

Cincinnati Union Terminal

|   | <u>Original</u>         | <u>Revision</u>        | <u>Updated</u>          | <u>Funding to Date</u>  | <u>Balance</u>         |
|---|-------------------------|------------------------|-------------------------|-------------------------|------------------------|
|   | <u>Budget</u>           |                        | <u>Budget</u>           |                         |                        |
| <b>Project Funding Sources:</b>         |                         |                        |                         |                         |                        |
| Hamilton County Sales Tax Levy Proceeds | \$175,700,000.00        | \$0.00                 | \$175,700,000.00        | \$ 121,429,369.51       | \$54,270,630.49        |
| Federal Historic Tax Credits            | \$21,250,000.00         | \$13,195,135.00        | \$34,445,135.00         | \$10,175,000.00         | \$24,270,135.00        |
| State Historic Tax Credits              | \$3,250,000.00          | \$1,750,000.00         | \$5,000,000.00          | \$0.00                  | \$5,000,000.00         |
| Philanthropy                            | \$7,500,000.00          | \$80,024.00            | \$7,580,024.00          | \$4,330,024.12          | \$3,249,999.88         |
| State of Ohio Capital Grant             | \$5,000,000.00          | \$0.00                 | \$5,000,000.00          | \$1,639,206.12          | \$3,360,793.88         |
| Claims proceeds                         | \$0.00                  | <u>\$326,437.19</u>    | <u>\$326,437.19</u>     | <u>\$326,437.19</u>     | <u>\$0.00</u>          |
| <b>Total</b>                            | <b>\$212,700,000.00</b> | <b>\$15,351,596.19</b> | <b>\$228,051,596.19</b> | <b>\$137,900,036.94</b> | <b>\$90,151,559.25</b> |

**Project Uses:**

|   | <u>Original Budget</u>  | <u>Revision</u>       | <u>Updated Budget</u> | <u>Incurred to Date</u> | <u>Balance</u>         |
|---|-------------------------|-----------------------|-----------------------|-------------------------|------------------------|
| Construction Costs                              | \$155,432,060.00        | 12,867,940.00         | 168,300,000.00        | \$150,713,613.88        | \$17,586,386.12        |
| Architect Fees                                  | \$17,250,000.00         | 3,650,000.00          | 20,900,000.00         | \$19,729,048.17         | \$1,170,951.83         |
| Furniture, Fixtures & Equipment                 | \$4,500,000.00          | 750,000.00            | 5,250,000.00          | \$2,360,092.46          | \$2,889,907.54         |
| Professional Fees                               | \$2,450,000.00          | 850,000.00            | 3,300,000.00          | \$2,822,462.58          | \$477,537.42           |
| Financing Costs                                 | \$5,600,000.00          | (1,276,170.00)        | 4,323,830.00          | \$1,612,400.15          | \$2,711,429.85         |
| Permits & Insurance                             | \$1,450,000.00          | 150,000.00            | 1,600,000.00          | \$1,395,815.03          | \$204,184.97           |
| Temporary Facilities, Move Costs & Related      | \$5,250,000.00          | 1,750,000.00          | 7,000,000.00          | \$5,031,280.07          | \$1,968,719.93         |
| Preconstruction & Construction Related Expenses | \$7,500,000.00          | (192,275.00)          | 7,307,725.00          | \$5,887,181.96          | \$1,420,543.04         |
| UTC/UTL/C/CMC Renovation Expenses & Related     | \$8,605,940.00          | (162,648.00)          | 8,443,292.00          | \$5,817,538.04          | \$2,625,753.96         |
| CFTF Pre-funded expenses                        | \$662,000.00            | 0.00                  | 662,000.00            | \$662,000.00            | \$0.00                 |
| Owners Contingency                              | \$4,000,000.00          | <u>(3,035,251.00)</u> | <u>964,749.00</u>     | <u>\$0.00</u>           | <u>\$964,749.00</u>    |
| <b>Total</b>                                    | <b>\$212,700,000.00</b> | <b>15,351,596.00</b>  | <b>228,051,596.00</b> | <b>\$196,031,432.34</b> | <b>\$32,020,163.66</b> |